



3621

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 28, 2003

Wendy Dial

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Wendy Dial  
(Signature of Person Mailing Paper or Fee)

**PATENT APPLICATION**  
Attorney Docket No. SUN-P4061-JTF

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )  
William H. Connor )  
Serial No. 09/489,464 )  
Filing Date: January 21, 2000 )  
For: METHOD AND APPARATUS FOR )  
CONCURRENCY CONTROL IN A POLICY- )  
BASED MANAGEMENT SYSTEM )

Examiner: Wang, Mary Da Zhi  
Group Art Unit: 3621

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**AMENDMENT TRANSMITTAL LETTER**

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In connection with the above-referenced U. S. patent application, transmitted herewith are the following papers:

- Response under 37 C.F.R. § 1.111 to official action mailed July 16, 2003.
- A petition for extension of time is also enclosed with a fee of \$0.00 for a one-month extension for a small entity.
- Terminal disclaimer under 37 C.F.R. § 1.321(c), including
  - check for \$110.00 fee under 37 C.F.R. § 1.20(d), and
  - 2 certificates under 37 C.F.R. § 3.73(b).
- Information disclosure statement, form 1449 and \_\_\_ references.
- No additional claims fees are required.

[ ] An additional fee is required, and is calculated as shown below:

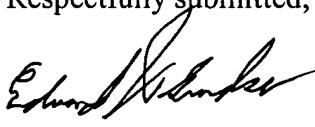
<b>A M E N D E D C L A I M S</b>					
	NO. OF CLAIMS	HIGHEST NO. OF CLAIMS PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	ADDTL FEE
Total Claims		MINUS = 20	0	x \$18 =	
Independent Claims		MINUS = 3	0	x \$84 =	
If Amendment adds multiple dependent claims, add \$260.00					
Total Amendment Fee					
If small entity status is claimed, subtract 50% of Total Amendment Fee					
<b>TOTAL ADDITIONAL FEE DUE FOR THIS AMENDMENT</b>					<b>\$0.00</b>

[ ] A check in the amount of \$\_\_\_\_ is enclosed.  
[ ] Charge \$\_\_\_\_ to Deposit Account No. \_\_\_\_ (Docket No. \_\_\_\_).  
[x] Please deduct any underpayments, credit any overpayments, and charge all required extension of time fees to Deposit Account Number 50-1003. (Docket No. SUN-P4061-PJM).

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Respectfully submitted,

By

  
Edward J. Grundler  
Registration No. 47,615

Date: August 28, 2003



151 D (46)  
A-12-03  
JML

Application Number	:	09/489,464	Confirmation Number:	5882
Applicant	:	William H. Connor		
Filed	:	January 21, 2000		
TC/A.U.	:	3621		
Examiner	:	Cheung, Mary Da Zhi Wang		
Docket Number	:	SUN-P4061-JTF		
Customer No.	:	22,835		

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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#### AMENDMENT

Sir

In response to the office action of **July 16, 2003**, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

RCU  
Ent 08/20/03